

Algorithmic Topology

3. X is compact $\Leftrightarrow (FIP) \Rightarrow \bigcap_{i \in I} C_i \neq \emptyset$

$\Rightarrow \bigcap_{i \in I} C_i = X \setminus \bigcup_{i \in I} (X \setminus C_i)$ if this was empty, then

$$X \subseteq \bigcup_{i \in I} \underbrace{(X \setminus C_i)}_{\text{open}} \Rightarrow \exists n \in \mathbb{N}, C_1, \dots, C_n \in \mathcal{C}: X \subseteq \bigcup_{i=1}^n (X \setminus C_i) \quad (X \setminus \emptyset)$$

$$\emptyset = X \setminus \bigcup_{i=1}^n (X \setminus C_i) = \bigcap_{i=1}^n C_i \quad \square$$

[\Leftarrow] Let $(A_i)_{i \in I}$ be an open cover of X .

$$X = \bigcup_{i \in I} A_i \quad (X \setminus \emptyset)$$

$\emptyset = X \setminus \bigcup_{i \in I} A_i = \bigcap_{i \in I} (X \setminus A_i)$. Contraposition of RHS yields that there is a finite subfamily with empty intersection:

$$\emptyset = \bigcap_{i=1}^n (X \setminus A_i) = X \setminus \bigcup_{i=1}^n A_i \Rightarrow \bigcup_{i=1}^n A_i = X \quad \square$$

5. 
$$\left. \begin{array}{l} V=0 \\ E=1 \\ F=1 \end{array} \right\} V-E+F = 0-1+1=0$$

Def.: Given a set X , a Topology on X is a set $\sigma \subseteq \mathcal{P}(X)$ that satisfies the following:

- (i) $\emptyset, X \in \sigma$
- (ii) $\forall I$ finite: $\bigcap_{i \in I} O_i \in \sigma$ whenever $\forall i \in I: O_i \in \sigma$
- (iii) $\forall I$: $\bigcup_{i \in I} O_i \in \sigma$ whenever $\forall i \in I: O_i \in \sigma$

Elements of σ are called open.

Def.: A function $f: X \rightarrow Y$ is continuous if $\forall O \subseteq Y$ open, $f^{-1}(O) = \{x \in X: f(x) \in O\}$ is open.

The good thing: You're not allowed to talk about distances.

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

f continuous, g continuous
prove that $g \circ f$ is continuous.

Proof: Let $O \subseteq Z$ open.
 $(g \circ f)^{-1}(O) = f^{-1}(g^{-1}(O))$
 $\underbrace{\hspace{10em}}_{\text{open as } g \text{ cont.}}$
 $\underbrace{\hspace{10em}}_{\text{open as } f \text{ cont.}}$ □

Def.: A homeomorphism ("isomorphism of topological spaces") is a bijective function $f: X \rightarrow Y$ s.t.
 f, f^{-1} are continuous.

- compositions of homeomorphisms are homeomorphisms.
- $f: X \rightarrow Y$ bijective, continuous and closed $\Rightarrow f$ homeomorphism

Proof: Left to show that f^{-1} is continuous. Let A be open in X . Then A^c closed in X , so $f(A^c)$ is closed in Y , meaning $f(A^c)^c$ is open in Y :

$$f(A^c)^c = \{y \in Y: y \notin f(A^c)\} \stackrel{\text{bij.}}{=} \{y \in Y: f^{-1}(y) \notin A^c\} = \{y \in Y: f^{-1}(y) \in A\} = \{y \in Y: y \in f(A)\} = f(A)$$

A Topological space is path-connected if $\forall x, y \in X$
 $\exists f: [0,1] \rightarrow X$ continuous s.t. $f(0) = x$ and $f(1) = y$

A Topological space is disconnected if it can be partitioned into (at least two) nonempty open sets.

- continuous images of connected topological spaces are connected.
- Are connected & path-connected equivalent?

Proof: Let X be a connected topological space. Suppose we have $f(X)$ to be disconnected. Then there ex. a partition $\{Y_i\}_{i \in I}$ of $f(X)$ where Y_i are open and nonempty.

Since f is cont., $\forall i \in I: f^{-1}(Y_i)$ is open and nonempty. They have pus. empty intersection, since if $x \in f^{-1}(Y_i)$ and $x \in f^{-1}(Y_j)$ with $i \neq j \in I$ would yield $f(x) \in Y_i, Y_j$ $\nabla \{Y_i\}_{i \in I}$ partition.

Let x be in X . Then there exists a unique Y_i s.t. $f(x) \in Y_i$.
 $\Rightarrow x \in f^{-1}(Y_i)$. So $\{f^{-1}(Y_i)\}_{i \in I}$ are a partition of nonempty open sets of X ∇X connected.

Let X be path-connected. Is it then connected?

Suppose we have partition $\{X_i\}_{i \in I}$ of X of nonempty open sets. Let $i \neq j \in I$ and $x \in X_i$ and $y \in X_j$. f a continuous function $f: [0,1] \rightarrow X$ with $f(0) = x$ and $f(1) = y$. Then $f^{-1}(X_i), f^{-1}(X_j)$ are open in $[0,1]$. $f^{-1}(X_i)$ is nonempty since $f(0) = x \in X_i$ and $f(1) = y \in X_j$. So $f^{-1}(X_i) = [0, r_1)$ and $f^{-1}(X_j) = (r_2, 1]$ for real $0 < r_1, r_2 < 1$. $r_1 < r_2$ because $f^{-1}(X_i) \cap f^{-1}(X_j) = \emptyset$.

Chapter I: Point set topology

Def: Given a set X , a Topology on X is a set $\mathcal{T} \subseteq \mathcal{P}(X)$

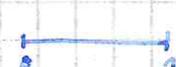
- (i) $\emptyset, X \in \mathcal{T}$
- (ii) $\bigcap_{i \in I} O_i \in \mathcal{T} \quad \forall I \text{ finite, } O_i \in \mathcal{T} \quad \forall i \in I$
- (iii) $\bigcup_{i \in I} O_i \in \mathcal{T} \quad \forall I \text{ s.t. } O_i \in \mathcal{T} \quad \forall i \in I$

Elements of \mathcal{T} are called open. Their complements are called closed.

A basis of a Topology on X is a set $B \subseteq \mathcal{P}(X)$ satisfying (i) & (ii).

Fact: If B is a basis, then

$\{O \subseteq X \mid O = \bigcup_{i \in I} O_i \text{ for some } i \in I \text{ \& } O_i \in B\}$ is a topology on X .

Example:  $B = \{(a,b) \mid a < b, a, b \in [0,1]\} \cup \{[0,b), (a,1] \mid a, b \in [0,1]\}$

Example: For any X , $\mathcal{P}(X)$ is a topology, the discrete topology.
 $\{\emptyset, X\}$ is a topology, the trivial topology.

Def.: Given a set X with topology τ on X , $Y \subseteq X$.
The subspace topology on Y is

$$\mathcal{S} = \{Z \subseteq Y \mid Z = Y \cap O, O \in \tau\}$$

Prop.: The subspace topology is a topology on Y .

Proof: Check (i)-(iii).

(i) $\emptyset \in \tau \Rightarrow \emptyset = \emptyset \cap Y \Rightarrow \emptyset \in \mathcal{S}$.
 $X \in \tau \Rightarrow Y = X \cap Y \Rightarrow Y \in \mathcal{S}$

(ii) Let $(U_i)_{i \in I} \in \mathcal{S}$, I finite.
 $U_i \in \mathcal{S}$ so $\exists O_i \in \tau$ s.t. $U_i = O_i \cap Y$
 By (ii) for τ , $\bigcap_{i \in I} O_i \in \tau$.

$$\bigcap_{i \in I} U_i = \bigcap_{i \in I} (O_i \cap Y) = Y \cap \underbrace{\bigcap_{i \in I} O_i}_{\in \tau} \in \mathcal{S}$$

(iii) Let $(U_i)_{i \in I} \in \mathcal{S}$, similar to (ii) ... ▣

Example Let $X \subseteq \mathbb{R}^n$ is endowed with the subspace topology where the topology of \mathbb{R}^n is given by the basis of balls $B_r(x), r \in \mathbb{R}_{>0}, x \in \mathbb{R}^n$

Recall: A function $f: X \rightarrow Y$ is continuous if $\forall O$ open in $Y: f^{-1}(O)$ is open.
 If $Y \subseteq X$, consider the inclusion map.

$$i: Y \rightarrow X \\ y \mapsto y$$

Fact: The subspace topology on Y is the coarsest topology on Y so that i is continuous.

Fact: Let D be endowed with the discrete topology. Then any map $f: D \rightarrow X$ with any topology on X is continuous.

Now let a topology on X be given and a surj. function $f: X \rightarrow Y$.
 How should we define the "quotient topology" on Y ?

\Rightarrow Take the finest topology on Y s.t. f is continuous.

$$\Rightarrow \{U \subseteq Y \mid \exists O \subseteq X \text{ open with } f^{-1}(U) = O\}$$

The disjoint union of two topological spaces $(X, \tau), (Y, \mathcal{S})$ is defined as $(X \cup Y, \{O \mid O = O_1 \cup O_2, O_1 \in \tau, O_2 \in \mathcal{S}\})$

Prove that if X, Y are nonempty, then the disjoint union is not connected.

Proof: Let $(X, \tau), (Y, \mathcal{S})$ be nonempty topological spaces. ^{Assume $X \cap Y = \emptyset$.} Def. $(X \cup Y, \mathcal{R})$ as the disjoint union.

Then $X \in \mathcal{R}$ since $X = X \cup \emptyset$ where $X \in \tau$ and $\emptyset \in \mathcal{S}$ (def. top.)
 and $Y \in \mathcal{R}$ since $Y = \emptyset \cup Y$ where $\emptyset \in \tau$ and $Y \in \mathcal{S}$
 and $X \cup Y$ there is a partition of nonempty open sets ▣

Prove that $[0, 1]$ is connected.

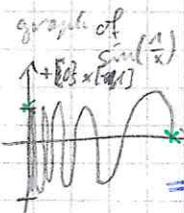
Proof: We prove that it is path-connected instead, then the prop. follows.

Let $x, y \in X$. Def $\ell: [0, 1] \rightarrow [0, 1]$ by $\ell(s) = x + s(y-x)$ This is continuous because

Claim: $X \cup Y$ is not path-connected.

Proof: Assume the opposite. Let $x \in X$ and $y \in Y$. Then there exists $f: [0, 1] \rightarrow X \cup Y$ continuous s.t. $f(0) = x$, $f(1) = y$.

$X' := f^{-1}(X)$, $Y' := f^{-1}(Y)$ open and disjoint since X and Y are disjoint. Since $X \cup Y$ covers $X \cup Y$, every $s \in [0, 1]$ is in $X' \cup Y'$. So $X' \cup Y'$ is a clopen partition of $[0, 1]$ \square



Not every connected space is path-connected.

Prove that $[0, 1]$ is connected.

\Rightarrow product topology



Naive guess: X, Y top. spaces.

open sets of $X \times Y$ are products $O_1 \times O_2$, $O_1 \in \tau(X)$, $O_2 \in \tau(Y)$

use as basis \rightarrow

Idea: $O \subseteq X \times Y$ is open iff $\pi_x(O) \in \tau(X)$, $\pi_y(O) \in \tau(Y)$. Use projection maps.

Def:

Given X, Y top. spaces, the product topology of $X \times Y$ is given by the basis $\{O_1 \times O_2 \mid O_1 \in \tau(X), O_2 \in \tau(Y)\}$

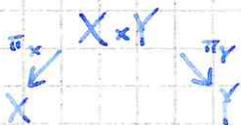
Lemma: The product topology is indeed a topology.

Proof: τ on $X \times Y$ is prod. top.

- closed under unions: by def. \checkmark
 - finite intersections: suffices to check for pairwise intersections.
- Let $O, U \in \tau(X \times Y)$ be given.

$$O = \bigcup_{i \in I} O_i^1 \times O_i^2, \quad U = \bigcup_{j \in J} U_j^1 \times U_j^2$$

$$\begin{aligned} O \cap U &= \left(\bigcup_{i \in I} O_i^1 \times O_i^2 \right) \cap \left(\bigcup_{j \in J} U_j^1 \times U_j^2 \right) \\ &= \bigcup_{(i,j) \in I \times J} ((O_i^1 \times O_i^2) \cap (U_j^1 \times U_j^2)) \\ &= \bigcup_{(i,j) \in I \times J} \underbrace{(O_i^1 \cap U_j^1)}_{\text{basic open set}} \times (O_i^2 \cap U_j^2) \end{aligned}$$



π_x and π_y continuous. Exmp: discrete topology on $X \times Y$. Interesting: coarsest topology with that condition

For every $O \in \tau(X)$: $\pi_x^{-1}(O) = O \times Y$ open
 $U \in \tau(Y)$: $\pi_y^{-1}(U) = X \times U$ open

Unfortunately, this is not a topology:

- not closed under unions
 - not closed under finite intersections
- \Rightarrow use as subbasis (close under unions and finite intersections)

space of infinite 0-1-sequences should have some topology:
 $0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \dots$

Two definitions:

- ① $O_1 \times O_2 \times O_3 \times \dots$, O_i open in i -th copy of $\{0, 1\}$ discrete topology
- ② $\{1, 3\} \times \{1, 3\} \times \{1, 3\} \times \dots$ product would not be compact!

② $X = \prod_{i \in I} X_i$

- $\pi_i: X \rightarrow X_i$ continuous $\Rightarrow X_1, X_2, \dots, O_i, X_{i+1}, \dots$
($(x_1, x_2, \dots) \mapsto x_i$)
- close under finite intersections and arbitrary unions

Def 15 Given a family $(X_i | i \in I)$ of topological spaces, the product topology on $X = \prod_{i \in I} X_i$ is the coarsest topology s.t. all projection maps $\pi_i: X \rightarrow X_i$ are continuous, that is basic open sets are of the form:

$X_{i_1} \times X_{i_2} \times \dots \times O_{i_n} \times \dots$

$\prod_{i \in I} Y_i, \quad Y_i = X_i$ for all but finitely many $i \in I$ & $Y_i \in \tau(X_i)$

Theorem Tychonov

Products of compact spaces are compact.

Why is this useful?

Compactness principle: (FP)

$(A_i | i \in I)$ family of closed sets. If $\forall J \subseteq I$ finite: $\bigcap_{i \in J} A_i \neq \emptyset \Rightarrow \bigcap_{i \in I} A_i \neq \emptyset$

Intuition: build complicated objects as element of $X = \prod_{i \in I} X_i$ have conditions

$y \in A_i$ closed | only need to check at finitely many points at once!

Fact

Erdős, De Bruijn

$\chi(G)$ = minimum of colors needed to

Given $k \in \mathbb{N}$. \forall every finite subgraph of G is k -colorable a graph s.t. adjacent vertices have different colors

$\chi(G) = \sup_{H \subseteq G \text{ finite}} \chi(H)$

if, then G is k -colorable.

Proof:

G a graph, $X = \prod_{v \in V(G)} \{1, \dots, k\}$ $k \in \mathbb{N}$

every finite subgraph can be k -colored

By Tychonov, X is compact.

A_e coloring of G $c: V(G) \rightarrow \{1, \dots, k\}$

s.t. colors at the end vertices of e are distinct

is closed. $\{1, \dots, k\} \times \{1, \dots, k\} \setminus \{(a, a) | a \in \{1, \dots, k\}\}$

$(A_e | e \in E(G))$ has finite intersection property.

Let e_1, \dots, e_s finitely many edges of G .

Let H be the subgraph of G with edge set e_1, \dots, e_s with vertices $\cup_{e \in E(H)} v_e$

H is k -colorable $\Rightarrow A_{e_1} \cap A_{e_2} \cap \dots \cap A_{e_s}$ is nonempty.

Compactness: $\bigcap_{e \in E(G)} A_e \neq \emptyset \Rightarrow \exists k$ -coloring of G □

Given a graph $G = (V, E)$, an unfriendly partition is a bipartition

$V = A_1 \cup A_2$ s.t. $\forall v \in \{0, 1\}$:

$\forall v \in A_i: \deg_{A_i}(v) \leq \deg_{A_{1-i}}(v)$

Fact 1. Every finite graph admits an unfriendly partition.

- Start with arbitrary partition
 - if a vertex doesn't fit the criterion, move it to the other side
 - iterate until all satisfied
- count edges across, this number is monotonically increasing and bounded \Rightarrow algorithm terminates

Conjecture Erdős 1960s

Every countable graph admits an unfriendly partition.

Fact 2: True for locally finite graphs by compactness.

$\forall v \in V: \deg v < \infty$
Proof: $X = \{\text{red, blue}\}^{V(G)} = \{f: V(G) \rightarrow \{\text{red, blue}\}\}$
Endow X with the product topology with $\{\text{red, blue}\}$ having discrete topology.
Tychonov $\Rightarrow X$ is compact.

Task: Define family $(A_i: i \in \mathbb{Z})$ of closed sets s.t.
 $f: V(G) \rightarrow \{\text{red, blue}\}$ is unfriendly iff $f \in \bigcap_{i \in \mathbb{Z}} A_i$.

For every $v \in V(G)$ define $A_v := \{f: V(G) \rightarrow \{\text{red, blue}\} \mid v \text{ is unhappy, i.e. } \deg_f(v) \neq \deg(v)\}$
 f is unfriendly iff $f \in \bigcap_{v \in V} A_v$

Lemma: A_v is closed.

Proof: $\deg v < \infty$ by assumption.
 $O_v := \{f: V(G) \rightarrow \{\text{red, blue}\} \mid v \text{ is happy}\}$ is a basic open set since v only has finitely many neighbours (only have to specify the finitely many colors of v and neighbours, rest is freely choosable).

$A_v = O_v^c$ is closed. It shows for $w \in V(G)$ finite:

$\bigcap_{v \in W} A_v \neq \emptyset$ since Fact 1 is applicable.
Indeed, let $H = G[W \cup \bigcup_{v \in W} N(v)]$ admits an unfriendly partition by Fact 1. Extend it arbitrarily to a partition of G .
In this extension, all v 's of W are unhappy.

By compactness: $\bigcap_{v \in V(G)} A_v \neq \emptyset$, yielding an unfriendly partition. \square

Is $\{0, 1\}^V$ connected?

- X, Y top. spaces connected; is $X \times Y$ connected?
- path-connected?
- Arbitrary products of connected spaces?

no, take for arbitrary $v \in V(G)$ the open partition $\{f: V \rightarrow \{0, 1\} \mid f(v) = 0\}$
 $\cup \{f: V \rightarrow \{0, 1\} \mid f(v) = 1\}$

Let X, Y be connected. Assume $X \times Y$ is disconnected, so $U_1 \cup U_2 = X \times Y$. We find X_1, X_2, Y_1, Y_2 s.t. $U_1 = X_1 \times Y_1$ and $U_2 = X_2 \times Y_2$.
 ~~$X_1 \cup X_2 = X$ because otherwise the other one would be empty. Same for Y_1, Y_2 .~~
Project onto X :
 π_X

Let (X, τ) be a top. space.

① (X, τ) is Fréchet if $\forall x \neq y \in X$ there exist neighbourhoods U_x of x and U_y of y with $x \notin U_y$ and $y \notin U_x$

② (X, τ) is Hausdorff if $\forall x \neq y \in X$ there exist opens U_x of x and U_y of y with $U_x \cap U_y = \emptyset$.

③ (X, τ) is Kolmogorov if $\forall x \neq y \in X$ there is one open subset that either

contains only x or only y .

$T_2 \stackrel{(1)}{\Rightarrow} T_1 \stackrel{(2)}{\Rightarrow} T_0$
Hausdorff \Rightarrow Fréchet \Rightarrow Kolmogorov

(1) Let (X, τ) be Hausdorff, $x \neq y \in X$. Use Hausdorff to obtain U_x, U_y open neighbourhoods with $U_x \cap U_y = \emptyset$.
Then $x \notin U_y$, because otherwise $x \in U_x \cap U_y = \emptyset$ $\frac{1}{2}$
also $y \notin U_x$, ... $y \in U_x \cap U_y = \emptyset$ $\frac{1}{2}$

(2) Let (X, τ) be Fréchet, $x \neq y \in X$. By Fréchet, obtain open nbhd's U_x, U_y with $x \notin U_y$ and $y \notin U_x$. Then use U_x which satisfies $x \in U_x \cap U_y$. $\frac{1}{2}$

2. a) Top. space that is not T_0 : any space with trivial topology and at least two points

b) space that is T_0 but not T_1 :

Choose $X = \{a, b\}$, $\tau = \{\emptyset, \{a\}, X\}$

Then (X, τ) is T_0 (always choose $\{a\}$).

Not T_1 : Choose $x := a$, $y := b$. Then U_y has to be X , also containing x $\frac{1}{2}$

space that is T_1 but not T_2 : $\frac{1}{2}$

Choose $X = \{a, b, c\}$, $\tau = \{\emptyset, \{a, c\}, \{b, c\}, X\}$

$\tau = \{U \mid X \setminus U \text{ finite}\}$ with infinite X , e.g. $X = \mathbb{N}$
 $\tau = \{\emptyset, x, \{a, b, c\}, \{a, b, d\}, \{a, c, d\}, \{b, c, d\}\}$

Not T_2 : Let $x = 0$ and $y = 1$. Assume we have U_x, U_y open with $U_x \cap U_y = \emptyset$. We find finite C_x, C_y s.t. $U_x = \mathbb{N} \setminus C_x$ and $U_y = \mathbb{N} \setminus C_y$.

so: $U_x \cap U_y = (\mathbb{N} \setminus C_x) \cap (\mathbb{N} \setminus C_y) = (\mathbb{N} \cap C_x^c) \cap (\mathbb{N} \cap C_y^c)$
 $= \mathbb{N} \cap (C_x^c \cap C_y^c) = \mathbb{N} \cap (C_x \cup C_y)^c = \mathbb{N} \setminus (C_x \cup C_y) = \emptyset \Rightarrow C_x \cup C_y = \mathbb{N}$ $\frac{1}{2}$

C_x, C_y finite

But T_1 : Let $x, y \in \mathbb{N}$. Choose $U_x := \mathbb{N} \setminus \{y\}$ and $U_y := \mathbb{N} \setminus \{x\}$.
Then U_x, U_y open, $x \in U_x, y \in U_y, x \notin U_y$ and $y \notin U_x$.

4. $T_1 \Leftrightarrow (\forall x \in X: \{x\} \text{ closed})$

[\Rightarrow] Let $x \in X$. For each $y \in X$ with $y \neq x$, we use Fréchet, obtaining U_x and U_y with $x \notin U_y$ and $y \notin U_x$.

Then $U := \bigcup_{\substack{y \in X \\ y \neq x}} U_y$ open with $x \notin U$ but $y \in U$ for every $y \neq x$, so $\{x\} = X \setminus U$ closed.

[\Leftarrow] Let $x, y \in X, x \neq y$. Choose $U_x := X \setminus \{y\}$ and $U_y := X \setminus \{x\}$ open (since $\{x\}, \{y\}$ closed), $x \in U_x, y \in U_y, y \notin U_x, x \notin U_y$ $\frac{1}{2}$

5. a) If (X, τ) Hausdorff and $(x_n)_{n \in \mathbb{N}}$ with $x_n \rightarrow x$ and $x_n \rightarrow y$ then $x = y$.
Convergence:

$\forall U$ open neighbourhood of $x \exists n_0 \in \mathbb{N} \forall n \geq n_0: x_n \in U$

Assume $x \neq y$. Use Hausdorff to obtain U_x, U_y open with $U_x \cap U_y = \emptyset$.

For U_x , we obtain by convergence a n_0 s.t. $\forall n \geq n_0: x_n \in U_x$

and U_y , we get a n_1 s.t. $\forall n \geq n_1: x_n \in U_y$.

Then follows for $n := \max(n_0, n_1)$: $x_n \in U_x \cap U_y \frac{1}{2} = \emptyset$

b) Find Fréchet/Kolmogorov and series $(x_n)_{n \in \mathbb{N}}$ with $x_n \rightarrow x, x_n \rightarrow y$ and $x \neq y$.

Choose $X = \{a, b\}$, $\tau = \{\emptyset, \{a\}, X\}$ and $x_n := a$ ($n \in \mathbb{N}$)

Then $x_n \rightarrow a$ since x_n stays inside of $\{a\}$ resp. X

but also $x_n \rightarrow b$ since x_n stays in X , which is the only nbhd of b , but $a \neq b$.

Alternative: $X = \mathbb{N}$, cofinite topology, then $x_n := n$ ($n \in \mathbb{N}$) converges to every point

Proof of Tychonov's Theorem A top. space (X, τ) is compact if every cover has a finite subcover.

Equivalently, for every collection of closed sets in X :

If the intersection of finitely many members of C is nonempty (FIP), then $\bigcap C \neq \emptyset$.

Tychonov: Let $\{X_i\}_{i \in I}$ be a fam. of top. spaces that are all compact. Then $\prod_{i \in I} X_i$ compact.

Let S be a set and C a collection of subsets of S . Then we say C has FIP when every finite subcollection has nonempty intersection.

Def: Let S be a set and $F \subseteq P(S)$. F is an Ultrafilter if

- (1) F is closed under intersection, $\forall A, B \in F: A \cap B \in F$
- (2) F is upwards closed, $\forall A \in F, B \subseteq S: A \subseteq B \Rightarrow B \in F$
- (3) $\forall A \subseteq S$, either $A \in F$, $A^c \in F$, but not both.

Note: $\emptyset \notin F$.

Observation: F has FIP.

Ultrafilter Lemma

Let S be a set, $C \subseteq P(S)$ have the FIP. Then \exists Ultrafilter F on S s.t. $C \subseteq F$.

Let F be an Ultrafilter on a top. space X . We say that $F \rightarrow x \in X$ if F contains all open neighbourhoods of x .

Lemma 0 Let U be a subset of a top. space X . Then U is open in X iff every ultrafilter that converges to some $x \in U$ contains U .

Proof: $[\Rightarrow]$ Let $F \rightarrow x \in U$. Then U is an open nbhd of x , so $U \in F$.

$[\Leftarrow]$ Let $x \in U$. Suppose for a contradiction that U contains no open nbhd of x .

Define $C := \{ \text{all open nbhds of } x \} \cup \{ U^c \}$

C has FIP. Use Ultrafilter Lemma to get ultrafilter $F \ni C$.

Observe that $F \rightarrow x$, therefore it contains U by assumption, but also $U^c \in F$ $\frac{1}{2}$

$\Rightarrow \forall x \in U \exists$ open nbhd $U_x \ni x$ s.t. $U_x \subseteq U$

$$U = \bigcup_{x \in U} U_x \quad \text{so } U \text{ is open.}$$

Lemma A topological space X is compact iff every ultrafilter on X converges to at least one point in X .

Proof: $[\Rightarrow]$ Let F be an ultrafilter on X compact and suppose it doesn't converge. For each $x \in X$, let U_x be an open nbhd not contained in F . (contradiction of convergence)

The U_x cover X , so by compactness there exist U_{x_1}, \dots, U_{x_n} with $X = \bigcup_{k=1}^n U_{x_k}$, $n \in \mathbb{N}$.

$\Rightarrow \bigcap_{k=1}^n U_{x_k}^c = \emptyset$ but since $U_{x_1}, \dots, U_{x_n} \in F$, also $\emptyset \in F$ $\frac{1}{2}$

$[\Leftarrow]$ For a contradiction, let U be an open cover of X without finite subcover.

$U^c := \{U : U \in \mathcal{U}\}$ has FIP. By ultrafilter lemma, \exists ultrafilter $F \ni U^c$. By assumption, $\exists x \in X$ s.t. $F \rightarrow x$. $x \in U$ for some $U \in \mathcal{U}$. So $U \in F$ (convergence), but $U^c \in F$ by def. of F . \square

Let X, Y be top. spaces and $f: X \rightarrow Y$. Let F be an ultrafilter on X . Then the pushforwards of F along f is $f_* F \in \mathcal{P}(Y)$ defined by $f_* F = \{S \subseteq Y : f^{-1}(S) \in F\}$.

Lemma Let $f: X \rightarrow Y$. Then f continuous iff \forall ultrafilters F on X and $x \in X$, $(F \rightarrow x \Rightarrow f_* F \rightarrow f(x))$

Proof [\Rightarrow] Let $x \in X$ with $F \rightarrow x$ an ultrafilter on X . Let U be an open nbhd of $f(x)$ in Y . Then $f^{-1}(U)$ is open in X and contains x . So $f^{-1}(U) \in F$, so $U \in f_* F$.

[\Leftarrow] Let $U \subseteq Y$ be open. Let $x \in X$ s.t. $f(x) \in U$. Let F be an ultrafilter on X s.t. $F \rightarrow x$. So $f_* F \rightarrow f(x)$. So $U \in f_* F$ (because U is open nbhd of $f(x)$). So $f^{-1}(U) \in F$ by definition.

Conclusion: Every ultrafilter F that converges to $x \in f^{-1}(U)$ contains $f^{-1}(U)$.

By Lemma 0, $f^{-1}(U)$ open. \square

Proof of Tychonov Let $\{X_i\}_{i \in I}$ be a family of compact top. spaces.

Want to show $\prod_{i \in I} X_i$ is compact, so let F be an ultrafilter thereupon.

Let $F_i := \pi_i_* F$ be the pushforward of F on each X_i . By compactness on each X_i , F_i converges to at least one point in X_i .

Using Axiom of Choice, pick a limit x_i for each F_i .

So $x_i \in X_i$ and $F_i \rightarrow x_i$.

Let $x := (x_i)_{i \in I} \in X$.

Want to show that $F \rightarrow x$. Let U be an open nbhd of x . Then U contains a basic open nbhd B of x .

$$B = \bigcap_{i \in I} \pi_i^{-1}(U_{i,k})$$

for open $U_{i,k} \subseteq X_i$ and $n \in \mathbb{N}_{\geq 1}$.

$\forall k=1, \dots, n$ we know $U_{i,k} \ni x_i$ and $U_{i,k}$ is open. So by the fact that $F_i \rightarrow x_i$ we get $U_{i,k} \in F_i$. We get $\pi_i^{-1}(U_{i,k}) \in F$

By \downarrow of F , $B \in F$. By upwards closure $B \subseteq U \Rightarrow U \in F$, so every open nbhd of x is in F , so $F \rightarrow x$, so every ultrafilter converges to some point, leaving X compact. \square

Throughout, X top. space.

(A) Let Y be a connected subspace of X . Prove that, if (A, B) is a separation of X then either $Y \subseteq A$ or $Y \subseteq B$.
(subsets into nonempty open sets)

Proof Let A, B be open, nonempty with $A \cup B = X$. Consider disjoint: $(Y \cap A) \cap (Y \cap B) = Y \cap (A \cap B) = Y \cap \emptyset = \emptyset$.
 $Y \cap A$ and $Y \cap B$ open (def. subspace topology). If both of them were nonempty, then $(Y \cap A) \cup (Y \cap B) = Y \cap (A \cup B) = Y \cap X = Y = Y \cap \emptyset = \emptyset$ would be a partition into nonempty open sets. \square

So either $Y \cap A = \emptyset$ or $Y \cap B = \emptyset$. Equivalent:

$$\begin{aligned} \Leftrightarrow Y \cap B^c &= \emptyset & \Leftrightarrow Y \cap A^c &= \emptyset \\ \Leftrightarrow Y \setminus B &= \emptyset & \Leftrightarrow Y \setminus A &= \emptyset \\ \Leftrightarrow Y \subseteq B & & \Leftrightarrow Y \subseteq A & \end{aligned}$$

(2) Let \mathcal{C} be a collection of connected subspaces of X . Prove that, if $\bigcap_{C \in \mathcal{C}} C \neq \emptyset$, then $\bigcup_{C \in \mathcal{C}} C$ is connected.

Proof Contraposition, so to prove if $\bigcup_{C \in \mathcal{C}} C$ is disconnected, then $\bigcap_{C \in \mathcal{C}} C = \emptyset$.

Let (A, B) be a separation of $Y = \bigcup_{C \in \mathcal{C}} C$. Since for every $C \in \mathcal{C}$ C is connected and a subspace of Y , we use (1) to obtain that either $C \subseteq A$ or $C \subseteq B$. There have to exist $C_1, C_2 \in \mathcal{C}$ s.t. $C_1 \subseteq A$ and $C_2 \subseteq B$ since if there were only subsets of A (resp. B), then $Y = \bigcup_{C \in \mathcal{C}} C \subseteq \bigcup_{C \in \mathcal{C}} A = A \neq B \neq \emptyset$ (same argument for B).

Now $\bigcap_{C \in \mathcal{C}} C \subseteq C_1 \cap C_2 \subseteq A \cap B = \emptyset$ □

(3) Let A be a connected subspace of X . Prove that, if $A \subseteq B \subseteq \bar{A}$, then B is connected.

Proof Assume the opposite, so B is disconnected. Take a separation (E, F) of B . Now $A \subseteq B$ connected, so use (1) to obtain $A \subseteq E$ or $A \subseteq F \Rightarrow \bar{A} \subseteq \bar{E}$ or $\bar{A} \subseteq \bar{F}$.

$$\begin{aligned} & \bar{A} \subseteq \bar{E} \text{ or } \bar{A} \subseteq \bar{F} \\ & \downarrow \\ & F \cap \bar{A} = E \cup F \cap \bar{A} \subseteq \bar{E} \\ & (E \cup F) \cap B = (E \cap B) \cup (F \cap B) \subseteq E \cap B \\ & \rightarrow F \subseteq \bar{E} \setminus E \end{aligned}$$

E, F open in B
 $\Rightarrow E \cup F = B$
 $E \cap B = E$
 $F \cap B = F$
 $E \cup F = B$

$\Rightarrow A \subseteq E$

Since $F \neq \emptyset$, pick $x \in F$. Since F is open with $F \cap A = \emptyset$. Thus $x \notin A$ and x is not a limit point of A , so $x \notin \bar{A} \supseteq B \supseteq F$ □

(4) Base case: X_1, X_2 connected $\Rightarrow X_1 \times X_2$ connected

$A_i = X_1 \times \{x_i\}$ for $x_i \in X_2$ connected ($\cong X_1$).

$B_i = \{x_i\} \times X_2$ for $x_i \in X_1$ connected.

Since $(x_1, x_2) \in A_i \cap B_j \Rightarrow A_i \cup B_j$ connected for every $x_1 \in X_1$

Now $\bigcap_{x_1 \in X_1} A_i \cup B_{x_1} \cong A \neq \emptyset \Rightarrow \bigcup_{x_1 \in X_1} A_i \cup B_{x_1} = A \cup \bigcup_{x_1 \in X_1} \{x_1\} \times X_2 = X_1 \times X_2$ connected □

Graph minors

Throughout, $G = (V, E)$ is a graph.

For $e = uv \in E$, we let G/e denote the graph obtained from G by deleting the edge e and identifying the end points u and v . This process is called contraction of e .



Remark It is easily verified that for distinct edges $e, f \in E$ $G/e/f = G/f/e$. For this reason, given a subset $A \subseteq E$ of edges of G , we denote by G/A the graph obtained by successively contracting the edges of A .

Def.: For a graph G , a minor of G is a graph obtained from G by any sequence of the following operations:

- (i) deleting an edge
- (ii) contracting an edge
- (iii) deleting an isolated vertex

(Order of operations is unimportant, since for disjoint edge-subsets $A, B \subseteq E$, $G/A/B = G/B/A$)

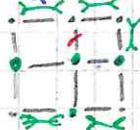
Def: Minor relation

We say that a graph H is a **minor** of a graph G if it is isomorphic to a minor of G . In this case, write $H \preceq G$ and say that G contains an H -minor.

Examples (i) \bigcirc is a minor of any graph containing a cycle

(ii) $\triangle \cong K_3$ is a minor of any **simple** graph containing a cycle

(iii) K_4 is a minor of the (3×3) -grid



(iv) $K_{3,3}$ is a minor of the $(3 \times 3 \times 2)$ -grid



Planar embeddings

Def: Let $G = (V, E)$ be a graph without loops. An **embedding** of G in the plane is a map ι on $V \cup E$ s.t.:

- (i) $\iota(v) \in \mathbb{R}^2 \quad \forall v \in V$
- (ii) $\forall e \in E \quad \iota(e)$ is a piecewise linear arc in \mathbb{R}^2
- (iii) ι is injective on V
- (iv) a vertex v is never mapped to an interior point of $\iota(e)$ for any e
- (v) a vertex v is incident to an edge e of G iff $\iota(v) \in \iota(e)$
- (vi) $\forall e, f \in E$ distinct, the interiors of $\iota(e)$ and $\iota(f)$ are disjoint.



Def: A graph which has an embedding in the plane is called **planar**.

A **plane graph** is a graph which "is embedded" in the plane - formally a pair (G, ι) where G is a graph and ι is an embedding of G .

Prop. Let G be a planar graph. Then every minor of G is planar.

Proof It suffices to show that $\forall e \in E: G/e$ and $G \setminus e$ are planar.

For an embedding ι of G in \mathbb{R}^2 . Clearly the restriction of ι to $V \cup (E \setminus \{e\})$ is an embedding of $G \setminus e$, and so $G \setminus e$ is planar.

Henceforth, we may assume that e is not a loop (otherwise $G/e = G \setminus e$). Let x, y be the endpoints

Recall that the quotient space $\mathbb{R}^2 / \iota(e)$ is homeomorphic to \mathbb{R}^2 .

So it suffices to prove that G/e has an embedding in $\mathbb{R}^2 / \iota(e)$

Define ι' as follows:

- $\forall v \in V \setminus \{x, y\} : \iota'(v) := \iota(v)$
- let $\iota'(z) = \iota(e)$, where z is the new vertex in G/e
- $\forall f \in E \setminus \{e\} : \iota'(f) := \iota(f)$

Then ι' is an embedding of G/e in $\mathbb{R}^2 / \iota(e) \cong \mathbb{R}^2$

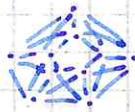
→ equivalence classes under $x \sim y$

Def: A **face** of a plane graph (G, ι) is a connected component of the topological space $\mathbb{R}^2 \setminus \iota(G)$.

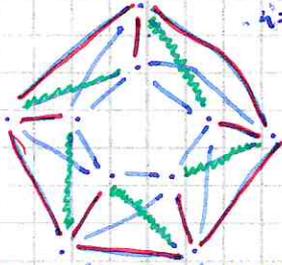
($\Leftrightarrow \exists$ connected subspace x, y)

1. Find largest $n \in \mathbb{N}$ s.t.

$K_n \leq$
 $n=5 \checkmark$



$n=6$ also



$n \geq 8$ not possible since K_n would have at least $\frac{n \cdot (n-1)}{2} = 28$ edges, whereas we only have 25

$n \geq 7$ not possible because we can't increase degree of vertices

2. a) Show that $|E| \leq 3|V| - 6$ if G simple and has ≥ 3 vertices
no loops or double edges

Euler's formula: $v + f - e = 2 \Rightarrow e = v + f - 2$
 $2e \geq 3f$ every face needs at least 3 edges, and every edge can contribute to two faces

$f = e - v + 2$ 1.3
 $3e - 3v + 6 = 3f \leq 2e$
 $e \leq 3v - 6$ \checkmark

b) Is K_5 planar? No, because otherwise it would fulfill the claim of a), but K_5 has 10 edges and 5 vertices
 $10 \leq 3 \cdot 5 - 6 = 9 \quad \text{?}$

c) Show that $|E| \leq 2|V| - 4$ if G is bipartite and has ≥ 4 vertices

$e \geq 2f$
 $2e \geq 4f$ (no 3-cycles possible)
 $f = e - v + 2$ 1.2
 $2e - 2v + 4 = 2f \leq e$
 $e \leq 2v - 4$

can be partitioned into two parts with no edges within the parts

$K_{3,3}$ not planar, since it gives with $e=9$ and $v=6$
 $\Rightarrow 9 \leq 2 \cdot 6 - 4 = 8 \quad \text{?}$

3. Kuratowski's theorem: G planar $\Leftrightarrow K_5 \not\leq G$ and $K_{3,3} \not\leq G$

Def.: A graph G is outerplanar if \exists embedding ι of G in \mathbb{R}^2 and a face f of ι s.t. every vertex of G lies on the boundary of f .



$K_4 \checkmark$



$K_{3,3} \checkmark$

Prove: G outerplanar $\Leftrightarrow K_4 \not\leq G$ and $K_{3,3} \not\leq G$

Create \hat{G} with a new vertex x which is connected to all existing vertices.

Claim: G outerplanar $\Leftrightarrow \hat{G}$ planar

Proof of Thm: G outerplanar $\Leftrightarrow \hat{G}$ planar $\Leftrightarrow K_5 \not\leq \hat{G}$ and $K_{3,3} \not\leq \hat{G}$

$\Leftrightarrow K_4 \not\leq G$ and $K_{3,3} \not\leq G$

Proof of Claim: \Rightarrow newly added edges lie on the face
 \Leftarrow

(*) :)



Theorem Kuratowski's theorem (1930)

Graph G is planar $\Leftrightarrow K_5 \not\subseteq G$ and $K_{3,3} \not\subseteq G$

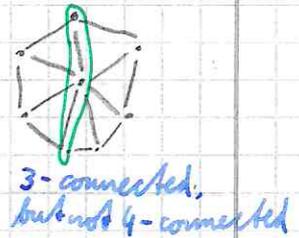
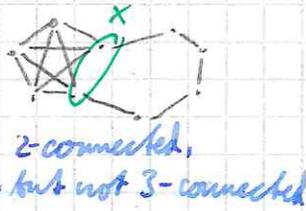
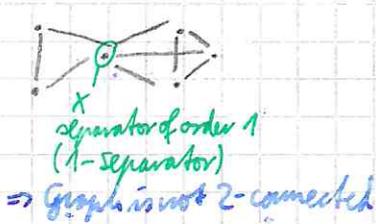
Proof of \Rightarrow Let G be planar, suppose for a contradiction that $K_5 \subseteq G$ or $K_{3,3} \subseteq G$.

Minors of planar graphs are planar $\Rightarrow K_5$ is planar or $K_{3,3}$ is planar \square

We prove \Leftarrow for the class of 3-connected graphs.

Prop. If G is 3-connected and $K_5 \not\subseteq G$ and $K_{3,3} \not\subseteq G$, then G is planar.

Def.: A graph G is k -connected if $|V(G)| > k$ and no separator of order $< k$ exists.
A separator of order l is a set $X \subseteq V(G)$, $|X| = l$ s.t. $G \setminus X$ is disconnected



Lemma 1 If G is plane and 2-connected, then every face is bounded by a cycle.

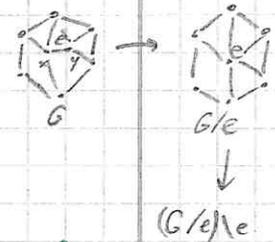
Proof idea: If f not bounded by a cycle, we find a 1-sep. \Rightarrow not 2-connected

Lemma 2 If $G \neq K_2$ and is 3-connected, $\exists e \in E(G)$ s.t. G/e is 3-connected (Diestel Thm. 3.2.1.) \Rightarrow allows induction on 3-connected graphs

Proof of Prop. Apply induction on $|V(G)|$.
Start: $|V(G)| = 4$. Then $G = K_4$ which is planar.

Step Assume $|V(G)| > 4$ and that the prop. holds for smaller graphs.
By lemma 2, $\exists e \in E(G)$ s.t. G/e is 3-connected. $e = (x, y)$
Since $K_5, K_{3,3} \not\subseteq G$, $K_5, K_{3,3} \not\subseteq G/e$ holds (contrapose).

Thus, G/e is planar by induction hypothesis.
Therefore, $G := (G/e) \setminus e$ is still planar and 2-connected.
Let ι be an embedding of G/e in the plane. Let f be the face of G/e containing $\iota(e)$.
Let C be the boundary of f in the embedding of G/e .
By lemma 1, C is a cycle.



Task: From G/e , uncontract e and either embed G in the plane or find a K_5 or $K_{3,3}$ -minor of G .

$X :=$ set of neighbours of x on C
 $Y :=$ " " of y on C
 $X \neq \emptyset$ because otherwise delete the other one x, y to make graph disconnected $\neq K_5, K_{3,3}$ 3-connected

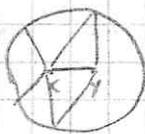
Case 1: $Y \neq X$. Then let $y' \in Y \setminus X$. Let S be the minimum segment of C containing y' and has both vertices in X .
Case 1a) If $Y \subseteq S$, we can embed G in \mathbb{R}^2
Case 1b) If $Y \not\subseteq S$, then $\exists y'' \in Y \setminus S$. Then we get a $K_{3,3}$ minor



Case 2: $Y \subseteq X$



Case 2a Y contains two non consecutive elements of X on C .
Then we find a $K_{3,3}$ minor



Case 2b $|Y| \leq 2$ and contains two consecutive elements of X on C .
Then we can embed this in the plane.
(not greater, because that would be case 2a)

Case 2c $|Y| = 3 = |X| \Rightarrow X = Y$
Then $K_5 \subseteq G$



Remark General Kuratowski can be reduced to the 3-connected case.

Alternative formulation of Kuratowski:

The set of excluded minors of the class of planar graphs is $\{K_5, K_{3,3}\}$.

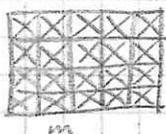
Def: Given a minor-closed class \mathcal{G} of graphs, then an excluded minor of \mathcal{G} is a graph $H \notin \mathcal{G}$, but every proper minor of H is in \mathcal{G} .

Research questions (a) What is the set \mathcal{H} of excluded minors of graphs embeddable on the torus?
Known: $17523 \leq |\mathcal{H}| < \infty$

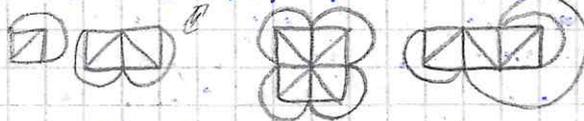
Theorem Robertson, Seymour (2004)

For any minor-closed class of graphs, the set of excluded minors is finite.

For which $(m, n) \in \mathbb{N}_{>0} \times \mathbb{N}_{>0}$ is the graph



planar? $(1,1), (1,2), (2,1), (2,2), (1,3)$



$12 \leq 3+2+2 \leq 6 \leq 12$

$V = (m+1)(n+1) = mn + m + n + 1$
 $E = m(n+1) + n(m+1) + 2mn = mn + m + mn + n + 2mn = 4mn + m + n$

$4mn + m + n \leq 3mn + 3m + 3n - 3 \quad | -3mn - m - n$

$mn \leq 2m + 2n - 3 \quad (1,3) \text{ non-planar}$

for $m, n \geq 3$ and one of them > 5 :

1st step: $m = 4$, then

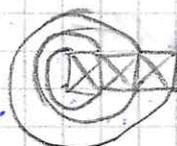
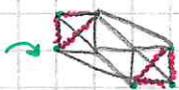
$4n \leq 2n + 5 \quad | -2n$

$2n \leq 5 \quad | :2$

$n \leq 2.5$

Shows that

$mn > 2m + 2n - 3$



K_5 -minor

2nd step: $(m+1)n = mn + n \leq 2m + 3n - 3$
 $= 2(m+1) + 2n - 3 + (n-2)$
 $(m+1)n = mn + n > 2m + 3n - 3 = 2(m+1) + 2n - 3 + (n-2)$
 $? 2(m+1) + 2n - 3$

Prove that every graph embeds in \mathbb{R}^3 .

→ Put points at random positions, then probability for crossing lines is zero.

An embedding of a graph in \mathbb{R}^3 is called linked if \exists two vertex-disjoint cycles that are embedded as linked cycles, otherwise linkless.

K_5 and $K_{3,3}$ have linkless embeddings since they do not have enough vertices to contain vertex-disjoint cycles. → linkless graphs are a proper superclass of planar graphs.

Embedding in \mathbb{R}^3

A 2-dimensional simplicial complex ^(2-complex) is a (simple) graph together with a set of triangles.

The geometric realization of a 2-complex ^(V,E,F) is the top. space obtained by starting with

- V with the discrete topology
- for every $(v,w) \in E$ we join v, w by an interval
- for every triangle $\Delta \in F$ we glue a 2-dim. disk with boundary Δ

An embedding of a 2-complex C into \mathbb{R}^3 is an injective continuous map from the geometric realization of C into \mathbb{R}^3 .

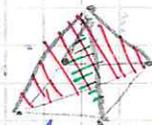
T top. space, the cone over T is $T \times [0,1] / T \times \{0\}$

Example: G graph \Rightarrow cone over G is 2-complex

Its vtx set is $V(G) \cup \{t\}$ top of cone

edge set is $E(G) \cup \{x,t \mid x \in V(G)\}$

face set is $\{\{x,y,t\} \mid x,y \in V(G), xy \in E(G)\}$



not an embedding since faces cross, but cone over

$X = K_5$ + all triangles as faces

Does X embed in \mathbb{R}^3 ? \Rightarrow yes (two tetrahedrons)

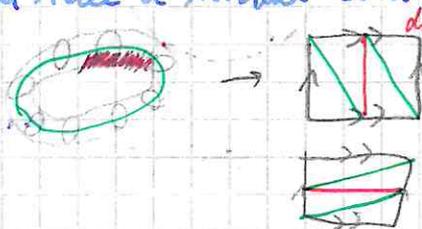
Given a 2-complex $C = (V, E, F)$, its 1-skeleton is the graph (V, E) .

\triangle embeds

Is the Möbius strip with a disc glued onto the middle line embeddable?

Suppose for a contradiction $Y \hookrightarrow \mathbb{R}^3$.

Then take a toroidal wld around the central circle



disc intersects torus

Möbius strip intersects torus

} intersect on torus \Rightarrow embedding not injective

Lemma cone over K_5 does not embed in \mathbb{R}^3

Proof suppose for a contradiction $C(K_5) \hookrightarrow \mathbb{R}^3$

Let t denote the top, take small sphere around t

all faces txy intersect the sphere in the edge xy .

$C(K_5)$ intersects the sphere in K_5

$\Rightarrow K_5 \hookrightarrow S^2$



all edges tx of $C(K_5)$ intersect the sphere in a point

non-embeddable: start with cone over $W_4 = \text{cube}$ add two faces

→ There is a Kuratowski-type Algorithm for embeddability of simply connected 2-complexes in \mathbb{R}^3 .

\exists a linear time algorithm that checks whether a graph is planar!

A top. space X is simply connected if $\forall \gamma: S^1 \rightarrow X$ continuous

$\exists \tilde{\gamma}: S^1 \times [0, 1] \rightarrow X$ continuous s.t. $\tilde{\gamma}|_{S^1 \times \{0\}} = \gamma$,
 $\tilde{\gamma}|_{S^1 \times \{1\}} = \text{const.}$

1-dimensional simplicial complexes (geom. realisations of graphs):

K_1 is simply connected because $\exists! \gamma: S^1 \rightarrow K_1$.

K_2 : Let $\gamma: S^1 \rightarrow K_2$ be given

WLOG: $\gamma: S^1 \rightarrow [0, 1]$

Now define for $t \in [0, 1]$ $\gamma_t: S^1 \rightarrow [0, 1]$

$$\gamma_t(x) = (1-t) \cdot \gamma(x)$$

$\tilde{\gamma}: S^1 \times [0, 1] \rightarrow K_2$

$$(x, t) \mapsto \gamma_t(x)$$

is continuous.

Let $U \subseteq [0, 1]$ be open. Want to show $\tilde{\gamma}^{-1}(U)$ open.

For this show that $\forall x \in \tilde{\gamma}^{-1}(U)$ \exists open in $S^1 \times [0, 1]$ with $x \in O_x$ $O_x \subseteq \tilde{\gamma}^{-1}(U)$

Let $(x, t) \in \tilde{\gamma}^{-1}(U)$ be arbitrary. Let $y \in [0, 1]$ with $\tilde{\gamma}(x, t) = y$.
 Find O_x open in S^1 s.t. $x \in O_x$ $\gamma_t(O_x) \subseteq U$

$$\tilde{\gamma}^{-1}(U) = \{(x, t) \mid \tilde{\gamma}(x, t) \in U\} = \{(x, t) \mid (1-t) \cdot \gamma(x) \in U\}$$

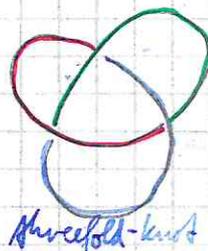
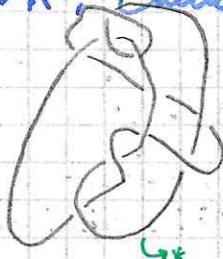
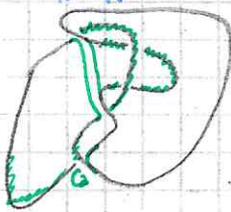
$$= \{(x, t) \mid \gamma(x) \in \frac{1}{1-t} \cdot U\}$$

K_3 not simply connected

\Rightarrow simply connected graphs are exactly the ones without any cycles

Fact It is undecidable whether a 2-complex is simply connected.

Fact For 2-complexes in \mathbb{R}^3 , checking simply connectedness is in NP.



threefold-knot

trefoil

Knots

Def.: A knot is a piecewise linear embedding of S^1 into \mathbb{R}^3 .

Two knots K_1, K_2 are equivalent if \exists a homeomorphism of \mathbb{R}^3 onto itself mapping K_1 to K_2 .

Any knot equivalent to the unknot is called trivial.

Q: Is there a polynomial time algorithm that decides whether a knot is trivial?

A knot diagramme is a plane 4 -regular multigraph together with an Euler tour s.t. at each vertex we pick one of the two

Traversals of the Euler tour to be "on top".



Given a knot diagram, there is a natural way to construct a knot out of it: - the Euler tour is a piecewise linear image of S^1 .
 - lift at each vertex the traversal "on top" a little bit. \rightarrow associated knot

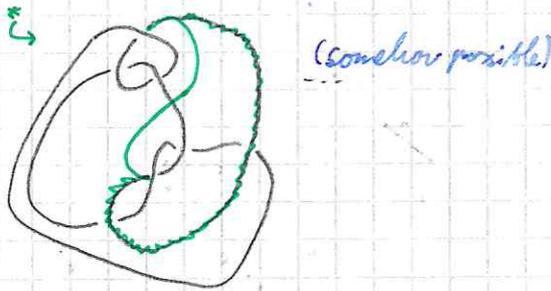
Then \forall knot $K \exists$ a knot diagram so that its associated knot is equivalent to K .

Reidemeister moves



Two knot diagrams are Reidemeister-equivalent if \exists sequence of Reidemeister moves transforming one to the other.

Then Two knot diagrams have equivalent associated knots iff they are Reidemeister-equivalent.

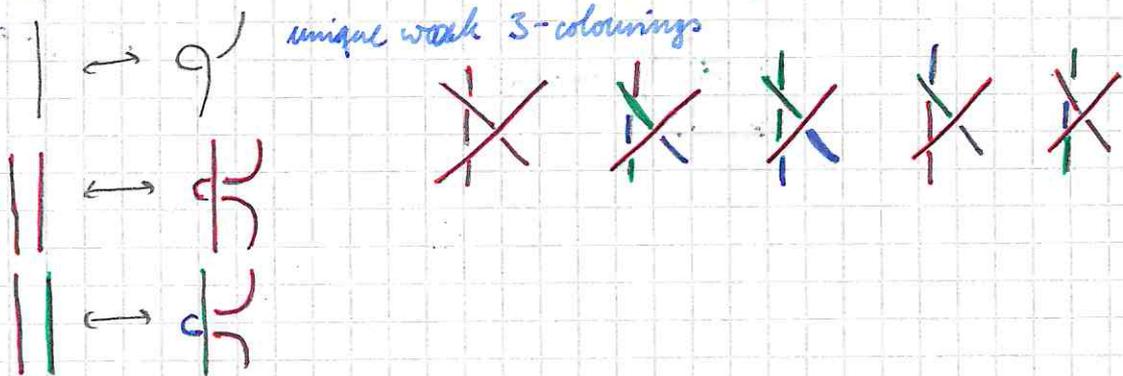


Find an invariant to prove that the threefold-knot is not trivial. We say a knot-diagram is 3-colourable if we can assign one of 3 colors to each of its segments s.t. at each crossing only one colour appears or all 3 & all 3 colors are used.

Then Being 3-colourable is a property invariant under Reidemeister moves. without this 'weak'

Proof. look at each Reidemeister move separately.

\rightarrow the threefold knot is non-trivial.



Problem: Classify all knots with at most 6 crossings.

0: unknot 

1: trivial 

2: trivial 

trivial 

3: 
 trefoil knot


 trivial

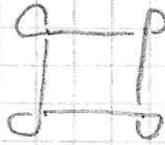
4: 
 trivial

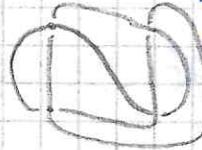

 trivial


 trivial









not equivalent to trefoil knot, but not clearly trivial


 eq. trefoil



unknot   

on ≤ 2 crossings, no 3-colourable knots

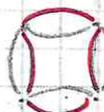


crossings	graphs	knots
0		
1		
2	 	 
3	 ≥ 1 loop  only graphs	unknot "  trefoil     trivial

4

≥ 1 loop \Rightarrow

reduce to ≤ 3 crossings

 disconnected

 disconnected

 connected

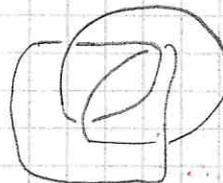


Figure-8 knot

Fundamental groups

$$X \text{ top. space, } x_0 \in X. \\ \pi_1(X, x_0) := \underbrace{\{ f: [0,1] \rightarrow X \mid \substack{\text{continuous} \\ f(0) = f(1) = x_0} \}}_{F_1(X, x_0)} \sim$$

Two curves equivalent if they can be continuously moved to each other

Lemma $F_1(X, x_0)$ is a group under concatenation.

Proof: closed under concatenation ✓

neutral element $f: I \rightarrow X$ $x \mapsto x_0$

• associativity: $(f \circ g) \circ h = f \circ (g \circ h)$

• existence of inverses

Given $f: I \rightarrow X$ continuous, inverse should go the other way
→ we have to introduce eq. relation ~

Def. Given $f, g: I \rightarrow X$, a homotopy from f to g is a continuous map $h: I^2 \rightarrow X$ s.t.
 $h|_{t=0} = f$
 $h|_{t=1} = g$
 $h(0,t) = x_0 = h(1,t)$ \Rightarrow homotopy

Ex. $f \circ f$ is homotopically equivalent to the constant function $c: I \rightarrow f(0)$.

$$f: I \rightarrow X \quad x \mapsto f(x) \\ f: I \rightarrow X \quad x \mapsto f(1-x)$$

$$(f \circ f)(x) = \begin{cases} x \leq \frac{1}{2}: f(2x) \\ x \geq \frac{1}{2}: f(2(x - \frac{1}{2})) \\ x \leq \frac{1}{2}: f(2x) \\ x \geq \frac{1}{2}: f(1 - 2(x - \frac{1}{2})) = f(2 - 2x) \end{cases}$$

$$f \circ g \sim \begin{cases} x \leq \frac{1}{2}: f(2x) \\ x \geq \frac{1}{2}: g(2(x - \frac{1}{2})) \end{cases}$$

only well-def. for $f(1) = g(0)$

Prop. Homotopy equivalence is an equivalence relation.

Proof: reflexive: constant homotopy $h(x,t) = f(x)$

symmetry: $f \sim g \Rightarrow g \sim f$: Take $\tilde{h}(x,t) = h(x, 1-t)$

transitivity: $f \sim g, g \sim h \Rightarrow f \sim h$: concatenate w.r.t. time argument

Lemma $\pi_1(X, x_0)$ is a group under concatenation. $[f] \circ [g] := [f \circ g]$
 (well-defined?)

Proof: closed under concatenation ✓

neutral element: $[c]$ with $f: I \rightarrow X$ $x \mapsto x_0$

• associativity $(f \circ g) \circ h = f \circ (g \circ h)$

• existence of inverses $[f] \circ [f^{-1}] = [c] = [f^{-1}] \circ [f]$ \square

Fact: If X is path-connected, then $\forall x_0, x_1 \in X: \pi_1(X, x_0) \cong \pi_1(X, x_1)$
 We can therefore write $\pi_1(X)$.

Functor

top. space \rightarrow group
 $X \mapsto \pi_1(X)$

If $\pi_1(X) \cong \pi_1(Y)$, then X is not homeomorphic to Y .

Equivalent: If X and Y are homeomorphic, $\pi_1(X)$ and $\pi_1(Y)$ are isomorphic.

Knot complements



Given a knot $K \subset \mathbb{R}^3$, a knot complement of K is obtained from \mathbb{R}^3 by removing a small toroidal neighbourhood around K .

Theorem Gordon, Luecke 1989

Two knots are equivalent iff their knot complements are homeomorphic.

Corollary If the fundamental group of the knot complement of K is not \mathbb{Z} , then K is a non-trivial knot. □

Plan: Give description of fundamental group of knot complement in terms of knot diagram

- Method to show that a group is not \mathbb{Z}
- Construct non-trivial group homomorphism to the dihedral group

What are the fundamental groups of these top. spaces?



\mathbb{Z} ... integer number of windings



$\mathbb{Z} * \mathbb{Z}$ free product (words of letters where $a a^{-1}$ and $b b^{-1}$ cancel out)

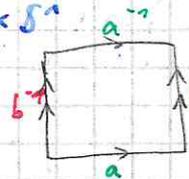


not path-connected, so for each base point \mathbb{Z}



Torus $S^1 \times S^1$

$\mathbb{Z} \times \mathbb{Z}$



trefoil knot $\cong S^1$

If a group enters the room it usually doesn't say "Hey, here's my multiplication table"

Presentation of groups:

S set, W words in S , R set of words in S .
 $w_1, w_2 \in W$ are related if $w_1 = w_2^{-1}$ or $w_1 w_2^{-1} \in R$
 $w_1 = x_1 \dots x_n$, $w_2^{-1} = x_n^{-1} \dots x_1^{-1}$
 $w_1 w_2^{-1} \in R$

Take transitive hull of being related (this is an equivalence relation).
 Let G be the set of those equivalence classes.

Prop.: G with composition of words is a group.

Examples: (1) $S = \{a, b\}$, $R = \emptyset$
 Words: a^z , $z \in \mathbb{Z}$
 $G \cong \mathbb{Z}$

(2) $S = \{a, b\}$, $R = \{a^3\}$
 Words: a^z , $z \in \mathbb{Z}$
 $a^z \sim a^4$ as $a^z a^{-4} = a^3 \in R$

$a^{10} \sim a^4$ as $a^{10} a^{-4} = a^6 \in R$ but $a^{10} \sim a^2 \sim a^4 \xrightarrow{\text{trans.}} a^{10}$ from a^4

eq. classes: $\{a^{2k}, k \in \mathbb{Z}\}, \{a^{2k+1}, k \in \mathbb{Z}\}, \{a^{2k+1} s, k \in \mathbb{Z}\}$
 $G = \mathbb{Z}_2$

③ $S = \{a, b\}, R = \emptyset$
 $\dots \rightarrow G = \mathbb{Z} * \mathbb{Z}$ free product

④ $S = \{a, b\}, R = \{aba^{-1}b^{-1}\}$
 $G = \mathbb{Z}^2 = \mathbb{Z} \oplus \mathbb{Z} = \{a^i b^j \mid i, j \in \mathbb{Z}\} = \pi_1(\text{torus})$

⑤ Dihedral groups:
 regular n -gon in \mathbb{R}^2



$S = \{r, s\}$
 rotate by $\frac{2\pi}{n}$
 fixed reflection

$R = \{r^n, s^2, sr^k sr^k\}$

$sr s = r^{-1}$

Elements of the dihedral group have form r^k or sr^k
 rotation reflection
 No two reflections commute

Proof of Prop.: neutral element: $[E] \xrightarrow{\alpha \alpha^{-1}}$
 inverse elements: $[x_1^{\alpha_1} \dots x_n^{\alpha_n}]^{-1} = [x_1^{-\alpha_1} \dots x_n^{-\alpha_n}]$
 $\xrightarrow{u_1 w_1^{-1} \in R} \dots \xrightarrow{u_n w_n^{-1} \in R}$

well-defined: $w_1 \sim w_2, u_1 \sim u_2$

Show $w_1 u_1 \sim w_2 u_2$

Show

$w_1 u_1 \sim w_1 u_2 \sim w_2 u_2$

$w_1 u_1 (w_2 u_2)^{-1} = w_1 u_1 u_2^{-1} w_2^{-1} \in R$

$w_2 u_2 (w_1 u_1)^{-1} = w_2 u_2 u_1^{-1} w_1^{-1} = w_2 w_1^{-1} \in R$

Prop. Given $G_1 = \langle S_1 \mid R_1 \rangle$ and $G_2 = \langle S_2 \mid R_2 \rangle$ presented groups. Then every function $f: S_1 \rightarrow S_2$ extends to a group homomorphism φ via

$G_1 = \langle S_1 \mid R_1 \rangle$
 $\downarrow f$
 $G_2 = \langle S_2 \mid R_2 \rangle$

$\varphi(x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n}) := f(x_1)^{\alpha_1} \dots f(x_n)^{\alpha_n}$

if $\forall y_1^{\beta_1}, \dots, y_m^{\beta_m} \in R_1$ we have
 $f(y_1)^{\beta_1} \dots f(y_m)^{\beta_m} = 1_{G_2}$

Corollary 5 Let G be a presented group with a group homomorphism induced via a function f as above into a dihedral group so that two reflections are in the image of f . Then G is not Abelian. In particular, $G \neq \mathbb{Z}$.

Theorem Given a knot diagram D of a knot K , the fundamental group of the knot diagram has the following presentation:

arcs: segments of knot diagram from one undercrossing to the next

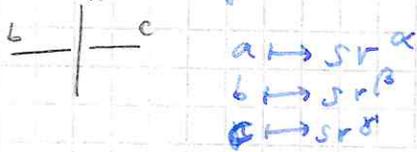
generators: arcs

one relation for each crossing:



$b = a c a^{-1}$
 try to move b-loop to c-loop, but a is in the way

Define a map f mapping each arc to a reflection in the dihedral group. First investigate at a single crossing:



$$\begin{aligned} a &\mapsto sr^{-\alpha} \\ b &\mapsto sr^{\beta} \\ c &\mapsto sr^{\delta} \end{aligned}$$

We need $sr^{\beta} = \underbrace{sr^{\alpha}}_{r^{-\alpha}} \underbrace{sr^{\delta}}_{r^{\delta}} (sr^{-\alpha})^{-1}$

$$= r^{-\alpha} r^{\delta} r^{-\alpha} s \quad | \cdot s^{-1}$$

$$\begin{aligned} r^{-\beta} &= r^{-\alpha} r^{\delta} r^{-\alpha} \\ \Rightarrow 1 &= r^{\beta+\delta-2\alpha} \quad (*) \end{aligned}$$

General FOX-coloring:

Let D be a knot diagram of a knot K . Assume the arcs of D can be colored with elements of \mathbb{Z}_n s.t. ≥ 2 colors are used & at every crossing $b|c$ we have $b+c \equiv 2a \pmod n$

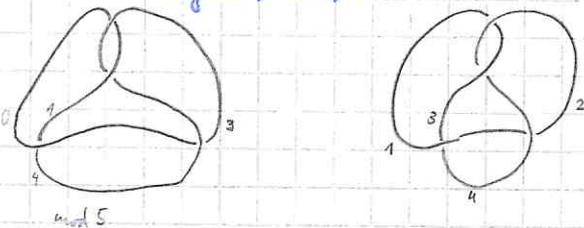
Then K is a non-trivial knot.

Proof: To show that K is non-trivial, it suffices to show by Cor. 5 to def. a function $f: \text{arcs} \rightarrow \text{dihedral group of order } 2n$ s.t. $|Im f| \geq 2$ and all images are reflections.

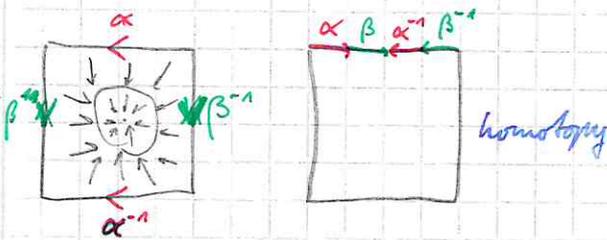
s.t. $\forall \text{ crossing } b = aca^{-1}$. True by (*)

knot diagram $\xrightarrow{\text{Gordon-Luecke}} \text{knot complement} \rightarrow \text{"1" representation by arcs of } D$
 $\downarrow \text{group theory}$
 Cor 5 to show $\mathbb{Z}_1 \neq \mathbb{Z}_2$

Figure 8-knot



This is how a topologist proves it; *malt zwei Striche an die "Inlet"

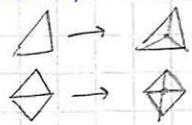


Manifolds

Def.: A (topological) manifold of dimension d is a topological space M s.t. $\forall x \in M \exists$ a nbhd $U = U(x)$ and a homeomorphism $\varphi_x: U_x \rightarrow \mathbb{R}^d$
 $\cong B_1^d(0)$

A surface is a compact 2-dimensional connected manifold.
 A 2-dim. simplicial complex C is called a triangulation of a surface S if the geometric realisation of C is homeomorphic to S .
Facts: Every surface has a triangulation.

Def.: A triangulation T is a refinement of a triangulation U if T can be obtained from U by applying subdivisions:

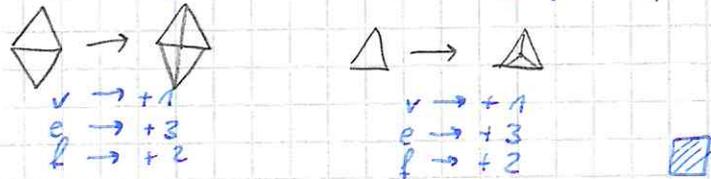


Fact: For any two triangulations of a surface S there ex. a triangulation refining both.

The Euler characteristic of a triangulation T is $\chi(T) = v(T) - e(T) + f(T)$
 where $v(T) = |V(T)|$
 $e(T) = |E(T)|$
 $f(T) = |F(T)|$



Lemma: A triangulation has Euler characteristic as any of its refinements.
Proof: By induction.



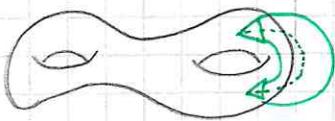
Corollary: Any two triangulations of the same surface have the same Euler characteristic.

Proof: Let T, U be such triangulations. Then they have a common refinement R . By previous lemma (2x)
 $\chi(T) = \chi(R) = \chi(U)$

This motivates

Def.: The Euler-characteristic of a surface S is the Euler characteristic of any of its triangulations.

Two surfaces with different Euler characteristic are not isomorphic.



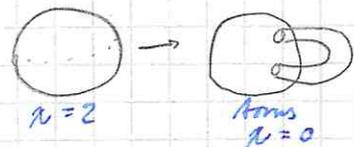
How does adding a handle change the Euler characteristic?

- lose 2 faces
- no new vertices
- + 6 edges
- + 6 faces

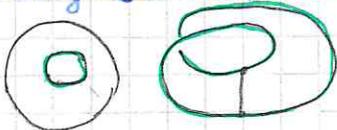


\Rightarrow decreases Euler characteristic by 2

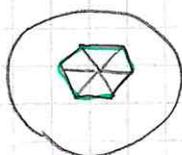
Ex.:



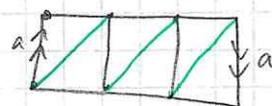
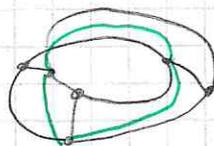
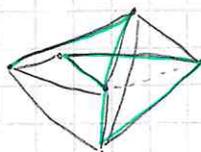
Start with S^2 , take out a disc (boundary is S^1) and glue a Möbius strip there.



How does this operation affect Euler characteristic?

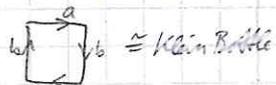


$-1 v$
 $-6 e$
 $+6 f$



$+6 e$
 $+6 f$
 $\Rightarrow -1$

Putting in this Möbius strip decreases χ by 1.



Thm: Classification of surfaces

Let S be a surface with Euler characteristic χ .

$$\text{If } \chi = 2 \Rightarrow S \cong S^2$$

$$\chi = 1 \Rightarrow S \cong \text{cross cap (disc + Möbius strip)}$$

$$\chi = 0 \Rightarrow S \cong \text{torus or } S \cong \text{Klein bottle}$$

$$\chi = 2 - (2g + 1) \text{ odd, then } S \cong S^2 \text{ with } 2g + 1 \text{ Möbius strips attached in}$$

$$\chi = 2 - 2g \text{ even } \Rightarrow S \cong \begin{cases} S^2 \text{ plus } g \text{ handles} \\ \text{or } S^2 \text{ plus } 2g \text{ Möbius strips} \end{cases}$$

Cor.: Two surfaces containing a Möbius strip are isomorphic iff they have the same Euler characteristic.

Cor.: " - not containing a Möbius strip.

